#9 KECESPI



Attorney Docket No. Q63063 PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Noriaki OSHIMA, et al.

Appln. No.: 09/777,686

Group Art Unit: 3662

Confirmation No.: 3329

Examiner: Not Yet Assigned

Filed: February 7, 2001

For:

OPTICAL RECORDING MEDIUM

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Commissioner for Patents Office of Initial Patent Examination **Customer Service Center** Washington, D.C. 20231

· Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following correction:

Under Filing Date: [5/23/2001] 2/7/2001

Verification for the requested correction is indicated on the original Request of Early Notification of Serial Number filed February 7, 2001.

Respectfully submitted,

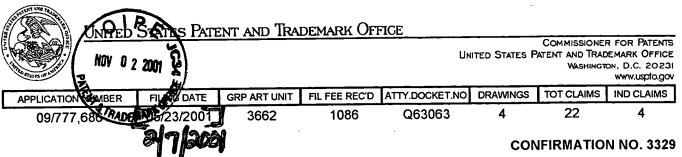
SUGHRUE MION, PLLC 2100 Pennsylvania Avenue, N.W. Washington, D.C. 20037-3213

Telephone: (202) 293-7060 Facsimile: (202) 293-7860

Date: November 2, 2001

Peter D. Olexy

Registration No. 24,513



SUGHRUE, MION, ZINN MACPEAK & SEAS, PLLC 2100 PENNSYLVANIA AVENUE, N.W. WASHINGTON, DC 20037-3213

UPDATED FILING RECEIPT

OC000000006781645*

Date Mailed: 09/24/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Noriaki Oshima, Yokohama-shi, JAPAN; Hideki Oono, Yonezawa-shi, JAPAN; Takashi Taniguchi, Sagamihara-shi, JAPAN; Keiichiro Nishizawa, Yokohama-shi, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN JP 2000-035655 02/08/2000 JAPAN JP 2000-063375 03/03/2000 JAPAN JP 2000-101309 03/31/2000 JAPAN JP 2000-174217 06/06/2000

If Required, Foreign Filing License Granted 04/24/2001

Projected Publication Date: 01/03/2002

Non-Publication Request: No

Early Publication Request: No

Title

Optical recording medium

Preliminary Class

369

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

e application of

Noriaki OSHIMA, et al.

Appln. No. 09/777,686

Group Art Unit: 3662

Confirmation No.: 3329

Examiner: Not Yet Assigned

Filed: February 7, 2001

For:

OPTICAL RECORDING MEDIUM

REQUEST FOR CORRECTED NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

U.S. Patent and Trademark Office **Assignment Division BOX ASSIGNMENT** North Tower Building, Suite 10C35 Washington, D.C. 20231

Sir:

We enclose copies of the NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT and Assignment which were recorded in the Patent Office at Reel 011829, Frame 0645, and request correction as follows:

Under Filing Date: [5/23/2001] <u>2/7/2001</u>

The need for correction was caused by the PTO and therefore no fee is necessary.

Respectfully submitted,

SUGHRUE MION, PLLC 2100 Pennsylvania Avenue, N.W. Washington, D.C. 20037-3213 Telephone: (202) 293-7060

Facsimile: (202) 293-7860

Date: November 2, 2001

Peter D. Olexy

Registration No. 24,513



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

AUGUST 08, 2001

PTAS

SUGHRUE, MION, ZINN, MACPEAK & SEAS PLLC PETER D. OLEXY, PC 2100 PENNSYLVANIA AVENUE, N.W. SUITE 800 WASHINGTON, D.C. 20037-3213



101733075A

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/23/2001

REEL/FRAME: 011829/0645

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

OSHIMA, NORIAKI

DOC DATE: 04/09/2001

ASSIGNOR:

OONO, HIDEKI

DOC DATE: 04/09/2001

ASSIGNOR:

TANIGUCHI, TAKASHI

DOC DATE: 04/09/2001

ASSIGNOR:

NISHIZAWA, KEIICHIRO

DOC DATE: 04/09/2001

ASSIGNEE:

TOSOH CORPORATION

4560, KAISEI-CHO, SHINNANYO-SHI YAMAGUCHI-KEN, 746-8501, JAPAN

SERIAL NUMBER: 09/777686

PATENT NUMBER:

FILING DATE 05/23/2001 2 7 2001 ISSUE DATE:

011829/0645 PAGE 2

PEARLENE FOSTER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS